MBE/WBE PARTICIPATION AFFIDAVIT

Item Description (as seen on RFP):
Downtown Arts Programming Project

Prime Bidder: ACME Inc.
Prime Bidder (Company) Phone Number: 401 680-5000
Prime Bidder (Company) Zip Code: 02903

Which one of the following describes your business’ status in terms of Minority and/or Woman-Owned Business Enterprise certification with the State of Rhode Island?  [ ] MBE  [ ] WBE  [ ] Neither MBE nor WBE

By initialing the following sections and signing the bottom of this document in my capacity as the contractor or an authorized representative of contractor, I make this Affidavit:

It is the policy of the City of Providence that minority business enterprises (MBEs) and women business enterprises (WBEs) should have the maximum opportunity to participate in procurements and projects as prime contractors and vendors. Pursuant to Sec. 21-52 of the Providence Code of Ordinances and Chapter 31-14 et seq. of the Rhode Island General Laws (as amended), MBE and WBE participation goals apply to contracts.

The goal for Minority Business Enterprise (MBE) participation is 10% of the total bid value.
The goal for Women’s Business Enterprise (WBE) participation is 10% of the total bid value.
The goal for combined MBE/WBE participation is 20% of the total bid value.

I acknowledge the City of Providence’s goals of supporting MBE/WBE certified businesses, Initial __ JS __________

If awarded the contract, I understand that my company must submit to the Minority and Women’s Business Coordinator at the City of Providence (MBE/WBE Office), copies of all executed agreements with the subcontractor(s) being utilized to achieve the participation goals and other requirements of the RI General Laws. I understand that these documents must be submitted prior to the issuance of a notice to proceed, Initial __ JS __________

I understand that, if awarded the contract, my firm must submit to the MBE/WBE Office canceled checks and reports required by the MBE/WBE Office on a quarterly basis verifying payments to the subcontractor(s) utilized on the contract, Initial __ JS __________

If I am awarded this contract and find that I am unable to utilize the subcontractor(s) identified in my Statement of Intent, I understand that I must substitute another certified MBE and WBE firm(s) to meet the participation goals. I understand that I may not make a substitution until I have obtained the written approval of the MBE/WBE Office, Initial __ JS __________

If awarded this contract, I understand that authorized representatives of the City of Providence may examine the books, records and files of my firm from time to time, to the extent that such material is relevant to a determination of whether my firm is complying with the City’s MBE/WBE participation requirements, Initial __ JS __________

I do solemnly declare and affirm under the penalty of perjury that the contents of the foregoing Affidavit are true and correct to the best of my knowledge, information and belief.

Signature of Bidder: ____________________________  John Smith
Printed Name: ____________________________  4/27/2018

ACME Inc.  Company Name

4/27/2018  Date
SUBCONTRACTOR DISCLOSURE FORM

Fill out this form only if you WILL SUBCONTRACT with other parties. If you will not subcontract any portion of the proposed bid, do not fill out this form.

Prime Bidder: ACME Inc.  Primary NAICS Code: 255211

Item Description (as seen on RFP):
Downtown Arts Programming Project

Please list all Subcontractors below. Include the total dollar value that you propose to share with each subcontractor and the dollar amount to be subcontracted. Please check off MBE and WBE where applicable. The directory of all state-certified MBE/WBE firms is located at www.mbe.ri.gov. Business NAICS codes can be found at https://findnaics.com/

<table>
<thead>
<tr>
<th>Proposed Subcontractor</th>
<th>MBE</th>
<th>WBE</th>
<th>Primary NAICS Code</th>
<th>Date of Mobilization</th>
<th>$ Value of Subcontract</th>
</tr>
</thead>
<tbody>
<tr>
<td>PVD Co. Inc</td>
<td></td>
<td></td>
<td>112356</td>
<td>6/15/18</td>
<td>$80000</td>
</tr>
<tr>
<td>Dorrance Construction</td>
<td>✔</td>
<td></td>
<td>255155</td>
<td>6/1/18</td>
<td>$20000</td>
</tr>
<tr>
<td>Quick Works</td>
<td></td>
<td>✔</td>
<td>266522</td>
<td>7/1/18</td>
<td>$50000</td>
</tr>
<tr>
<td></td>
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<td></td>
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<tr>
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<td></td>
<td></td>
</tr>
<tr>
<td>A. MBE SUBCONTRACTED AMOUNT:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>$20000</td>
</tr>
<tr>
<td>B. WBE SUBCONTRACTED AMOUNT:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>$50000</td>
</tr>
<tr>
<td>C. NON MBE WBE SUBCONTRACTED AMOUNT:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>$80000</td>
</tr>
<tr>
<td>D. DOLLAR AMOUNT OF WORK DONE BY THE PRIME CONTRACTOR:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>$250000</td>
</tr>
<tr>
<td>E. TOTAL AMOUNT OF BID (SUM OF A, B, C &amp; D):</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>$400000</td>
</tr>
<tr>
<td>F. PERCENTAGE OF BID SUBCONTRACTED TO MBEs AND WBEs. (Add A and B. Divide by E and multiply by 100).</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>17.5 %</td>
</tr>
</tbody>
</table>

Please read and initial the following statement acknowledging you understand.

If the percentage of the total amount of the bid being awarded to MBE or WBE vendors is less than 20% (Box F) and the prime contractor is NOT a Rhode Island State-certified MBE or WBE, you must fill out the MBE/WBE WAIVER REQUEST FORM for consideration by City of Providence MBE/WBE Outreach Director. Initial JS

Signature of Prime Contractor: [Signature]  John Smith  Printed Name  4/27/2018  Date Signed
MBE/WBE WAIVER REQUEST FORM

Fill out this form only if you are using subcontractors and did not meet the 20% MBE/WBE participation goal. MBE or WBE Prime Bidders that are certified by the State of Rhode Island are NOT REQUIRED to fill out this form.

Submit this form to the City of Providence MBE/WBE Outreach Director, Grace Diaz, at mbe-wbe@ providenci eri.gov for review prior to bid submission.

This waiver applies only to the current bid which you are submitting to the City of Providence and does not apply to other bids your company may submit for in the future.

Prime Bidder: ACME Inc.
Company Trade: Construction
Item Description (as seen on RFP): Downtown Arts Programming Project

To receive a waiver, you must list the certified MBE and/or WBE companies you contacted, the name of the primary individual with whom you interacted, and the reason the MBE/WBE company could not participate on this project.

<table>
<thead>
<tr>
<th>MBE/WBE Company Name</th>
<th>Individual’s Name</th>
<th>Company Trade</th>
<th>Why did you choose not to work with this company?</th>
</tr>
</thead>
<tbody>
<tr>
<td>American materials</td>
<td>Debbie Harrington</td>
<td>Material Supplier</td>
<td>Debbie did not have sufficient resources for the project.</td>
</tr>
<tr>
<td>L.P.S. Staging and Lighting</td>
<td>Ramon Santos</td>
<td>General Contractor/Electric</td>
<td>L.P.S. Wanted to charge $100,000 - could not agree.</td>
</tr>
</tbody>
</table>

I acknowledge the City of Providence’s goal of a combined MBE/WBE participation is 20% of the total bid value. I am requesting a waiver of 2.5% MBE/WBE (20% minus the value of Box F on the Subcontractor Disclosure Form).

If an opportunity is identified to subcontract any task associated with the fulfillment of this contract, a good faith effort will be made to select MBE/WBE certified businesses as partners.

John Smith
Signature of Prime Contractor

Printed Name

4/27/2018
Date Signed

Signature of City of Providence
MBE/WBE Outreach Director

Printed Name of City of Providence
MBE/WBE Outreach Director

Date Signed