

### CITY OF PROVIDENCE, RHODE ISLAND

**Department: Public Property** 

**RFP Title: Davey Lopes Flooring** 

Opening Date: 04/10/2023

Addendum #: 1

Issue Date: 03/20/2023

The purpose of this addendum is to add a second non-mandatory prebid conference on Thursday March 23rd, 2023, at 10:00am at Davey Lopes Recreation Center, 227 Dudley St. Providence, RI 02907.

Adding the following attachments to the solicitation:

Attachment A: City of Providence CDBG Program Federal Construction Contract Provisions

Attachment B: Asbestos Abatement Plan



## City of Providence CDBG Program FEDERAL CONSTRUCTION CONTRACT PROVISIONS FOR CONTRACTS \$10,001 to \$100,000



### **Building Vibrant Neighborhoods**

Department of Planning & Development

Division of Community Development

444 Westminster Street, Suite 3A

Providence, Rhode Island 02903

(401) 680-8400



## DEPARTMENT OF PLANNING & DEVELOPMENT DIVISION OF COMMUNITY DEVELOPMENT

## INFORMATION FOR BIDDERS PLEASE READ CAREFULLY!



## FOR YOUR BID TO BE CONSIDERED RESPONSIVE YOU MUST COMPLETE THE FOLLOWING CERTIFICATIONS:

For Contracts Between \$10,000 and \$100,000

- 1. CERTIFICATION OF CONTRACTOR REGARDING SEGREGATED FACILITIES
- 2. CERTIFICATION OF BIDDER REGARDING EQUAL EMPLOYMENT OPPORTUNITY
- 3. MBE/WBE FORMS

Additional certifications by subcontractors prior to the start of work date

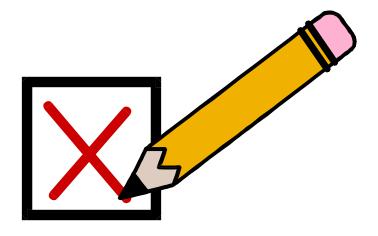
- 1. For all subcontracts: Certification of Subcontractor Regarding Segregated Facilities and Certification of Subcontractor Regarding Equal Employment Opportunity
- 2. For all subcontracts exceeding \$100,000; Section 3 Affirmative Action Plan.
- 3. MBE/WBE Subcontractor Disclosure Form
- 4. MBE/WBE Waiver Request Form

Submission of Section 3 Utilization Report for Contracts Exceeding \$100,000

Prime Contractors must submit a <u>Section 3 Utilization Report</u> to the CDBG grantee or their designee prior to final payment of CDBG funds for the project. This Report must include all Section 3 Employees of both the Contractor and all Subcontractors according to the terms of the <u>Section 3 Affirmative Action Plan.</u>

## **CERTIFICATIONS FOR PRIME BIDDER**

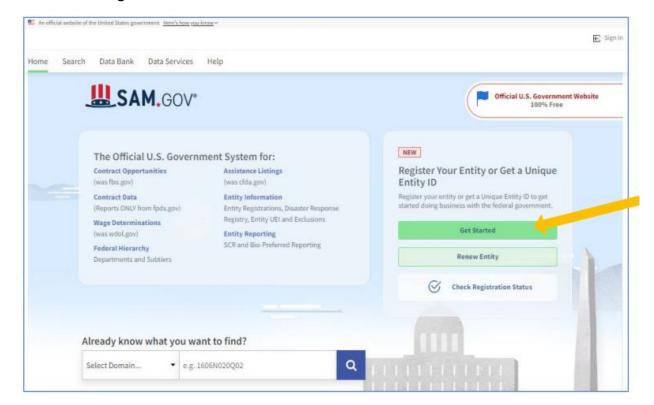
Must be Submitted with Bid



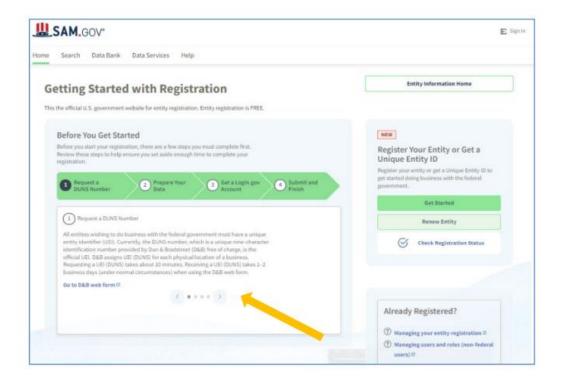
How to Register in SAM & Obtain a Unique Entity ID (SAM)

1: Getting Started There are four steps that you will need to complete: 1) Request a DUNS Number; 2) Prepare Your Data; 3) Get a Login.gov Account; 4) Submit and Finish.

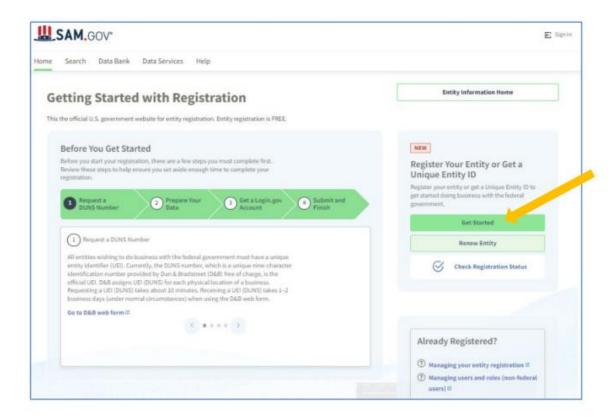
Go to www.sam.gov and click on "Get Started".



Next, review the steps that must be complete prior to registration.



Once a DUNS number has been obtained and all core data about your entity has been gathered, click "Get Started" to create a

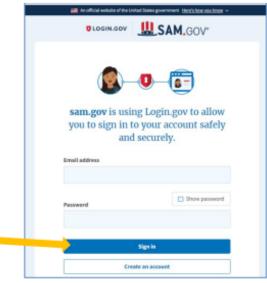


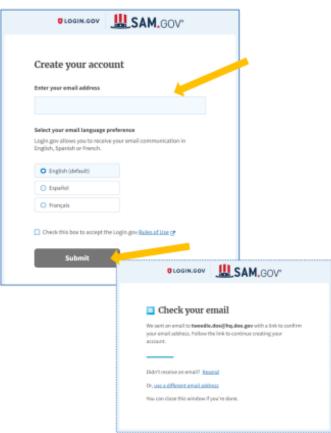
Login.gov account.

### 2: Create a Login.gov account

After completing the steps from the previous page, and clicking on "Get Started", you will be directed to Login.gov. Here, click on "Create an account" to create a login.gov account. This account enables you to sign safely and securely into your SAM account.

Enter your email address, accept the Rules of Use, then click on the "Submit" button. Once you submit your email address, you should see a message to check your email.

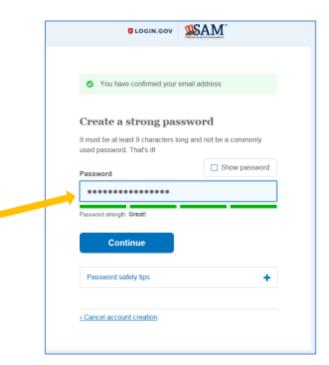




\*Note: You will need to create a login.gov account even if you already have a SAM account. Use the same email address here that you used for your existing SAM account. If you use any other email address to create your account at login.gov other than the one associated with your SAM.gov account, your SAM.gov roles will need to be reassigned. This could cause delays in updating your existing registrations. If you don't know which email is associated with your SAM.gov user account, contact the supporting Federal Service Desk at <a href="www.fsd.gov">www.fsd.gov</a>, or by telephone at 866-606-8220 Monday through Friday from 8 a.m. to 8 p.m. (ET).

When you receive an email from login.gov to confirm your email address, follow the instructions. When you follow the provided link, it will take you to a screen that asks you to create a password. Create a strong password and click on "Continue".

\*Note that the link provided for you to confirm your email address will expire in 24 hours.

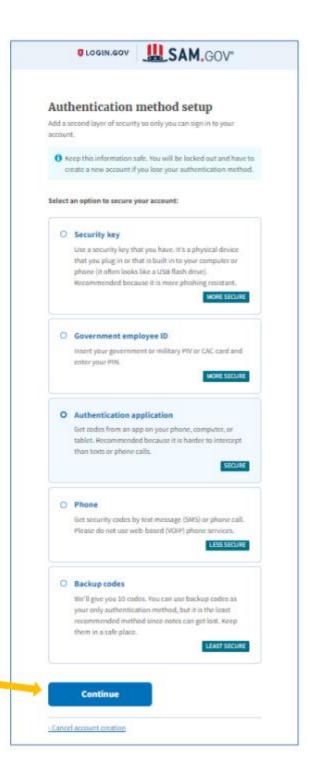


After you create a login.gov password, the next screen will ask you to select a second layer of security. You may select one of five options. Options are in order of more secure (Security key) to least secure (Backup codes).

The recommended 
"Authentication application" is a 
secure option to receive codes 
because it is harder to intercept 
than texts or phone calls. With this 
selection you will receive codes 
from an app on your phone, 
computer, or tablet.

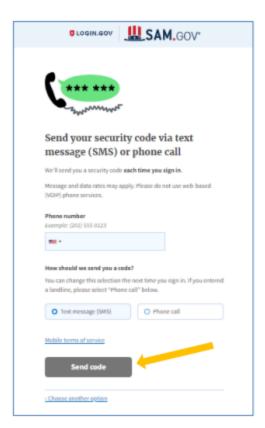
The less secure "Phone" option enables you to receive security codes by text message (SMS) or phone call.

Make your single selection and click on the "Continue" button.



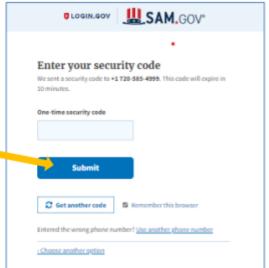
If you choose the "Authentication application" option, then you will be prompted to set up an authentication app. Follow the steps to set up this application and click "Submit".



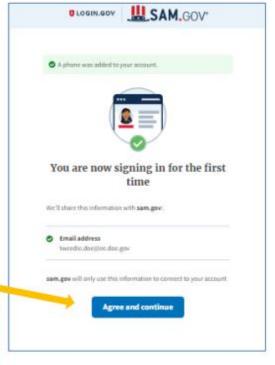


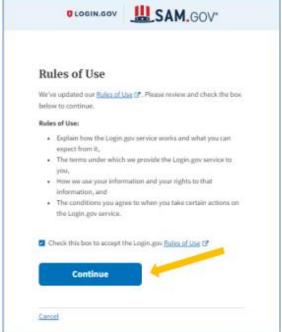
If you choose to receive your security code by "Phone" then you will see the screen to the left. Provide your phone number and select either "Text message (SMS)" or "Phone call" then click "Send code".

Login.gov will send you a security code via text momentarily with this option. Enter the security code that you received by text when you are prompted to do so on screen and click the "Submit" button.



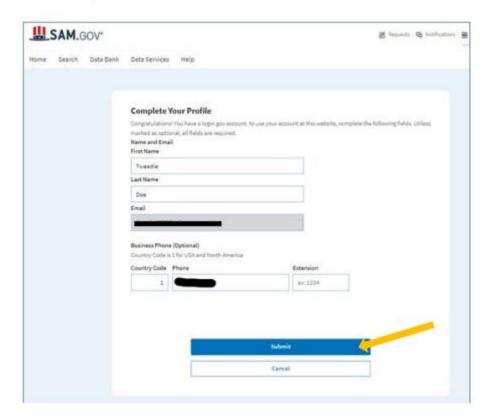
You will then be notified that you are signing in to SAM.gov for the first time. Click on the "Agree and continue" button.



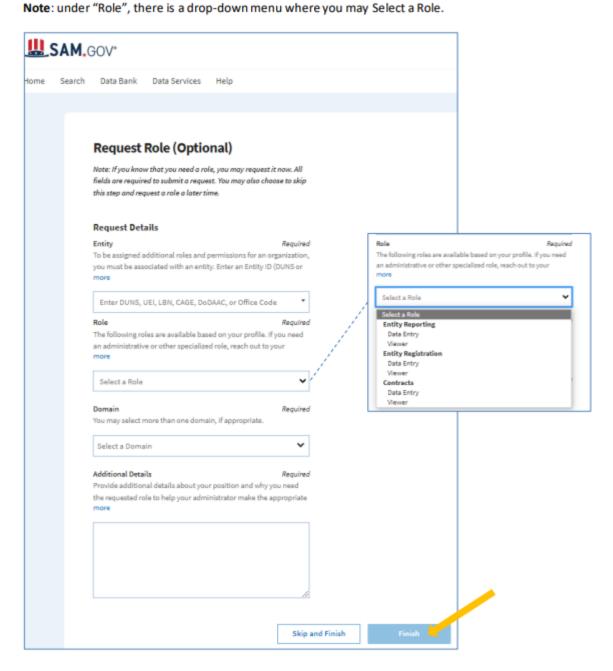


Read the Rules of Use, check the box to accept, then click on the "Continue" button.

Next, provide your name, email and phone number to complete your profile in SAM.gov. Click "Submit" when ready.

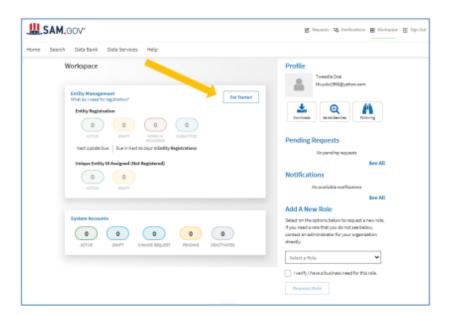


You may complete an optional Request Role form by providing the requested details. If you choose to skip this step and request a role later, click "Skip and Finish", otherwise click "Finish" to submit your role request. Either option will advance to the next screen.



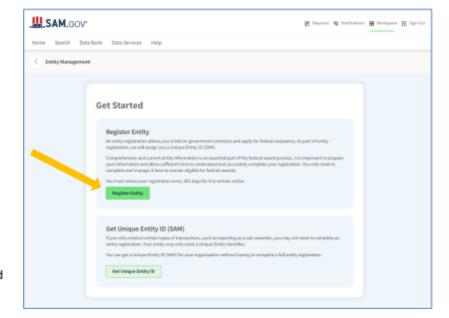
#### 3: Register Your Entity

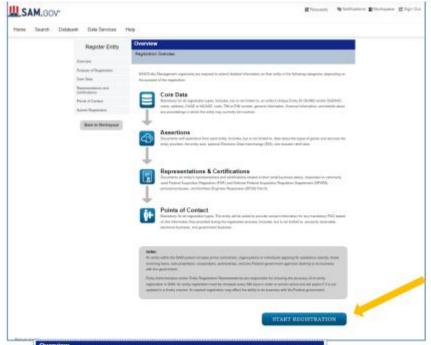
On this screen, your "Workspace" will display information on your SAM account activities, including your entity registration and Unique Entity ID (UEI) status. Click on "Get Started".



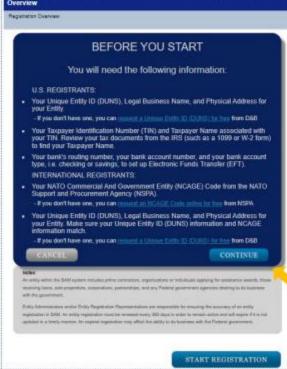
Now that you have a login.gov account and have completed your profile in SAM, you're ready to register your entity. On the "Get Started" screen you will have the option to "Register Entity" or "Get Unique Entity ID" without having to register an entity.

**Note:** to apply for federal assistance, an entity must be registered with SAM.





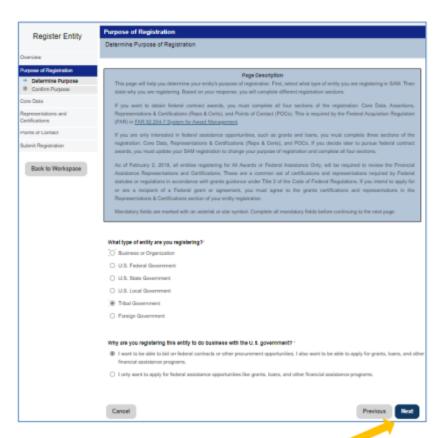
When you click on "Register Entity" in the previous screen, you will see this screen that outlines the next important steps to register your entity. After reviewing, click "Start Registration".



After clicking "Start Registration" in the previous step, the screen shown at left will appear, outlining the information you'll need to provide to register your entity.

- Your Unique Entity ID (DUNS), Legal Business Name, and Physical Address for your Entity.
- Your Taxpayer Identification Number (TIN) and Taxpayer Name associated with your TIN.
- Your bank's routing number, and your bank account type, to set up Electronic Funds Transfer (EFT).

Click "CONTINUE" when ready.



You will now begin the entity registration process, starting with identifying the purpose for registering your entity.

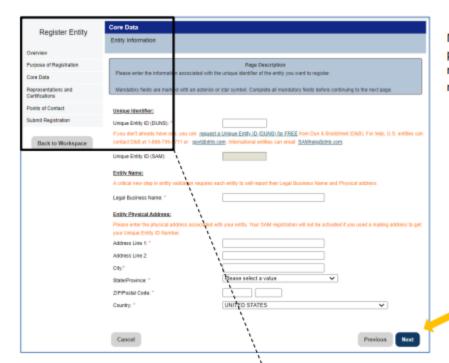
Tip: Read the "Page Description" thoroughly. The following sections and required information are specific to the selections made on this page.

**Note:** The options shown here were selected for the purpose of this guide.

Click on "Next" to advance.

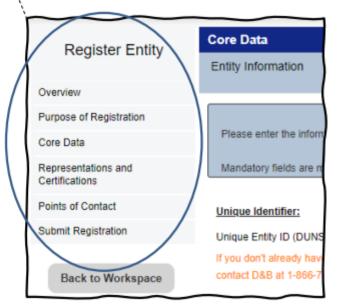
Based on your selections in the previous sub-section, the subsequent screen will list the required sections that you will need to complete. Confirm the purpose of registration and click "Next" when ready.





Next, you will begin the process of providing all required information to register your entity.

A menu of sections that you will need to complete for entity registration is displayed in the top, left corner of the on-screen page. Sections that appear in this menu will vary depending on the selected options in the previous "Purpose of Registration" section (see Page Description on "Purpose of Registration" page for details).

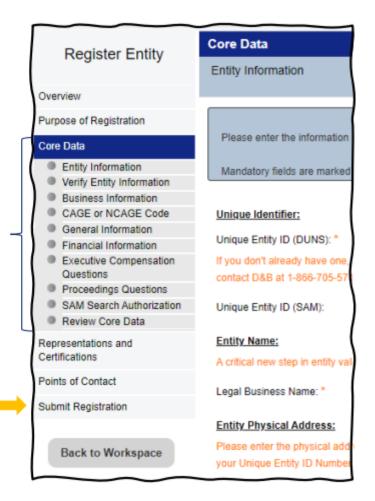


Each section in the Register Entity menu can be expanded to reveal the sub-sections that make up each section by clicking on the section title.

For example, in the image to the right, the Core Data section has been expanded to reveal its subsections, each containing forms that need to be completed before moving on to the next sub-section or section.

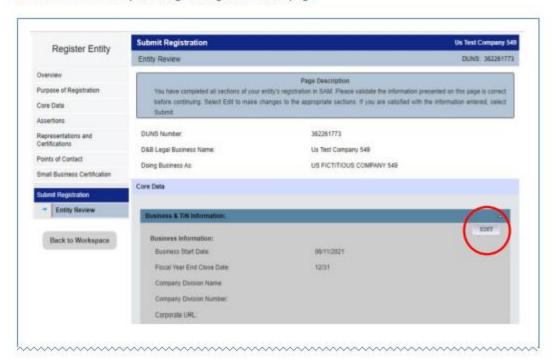
**Note:** You can only move from one section or sub-section to the next by completing each page.

Complete each section to move on to the final section "Submit Registration".

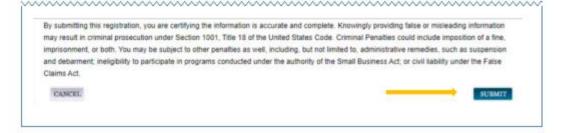


Once you've completed the preceding section, you will advance to "Submit Registration". Here you will need to do a final review of the entity information entered in all prior sections of the entity registration. If changes need to be made, click the "Edit" button located in the upper right corner of each section (circled in image below).

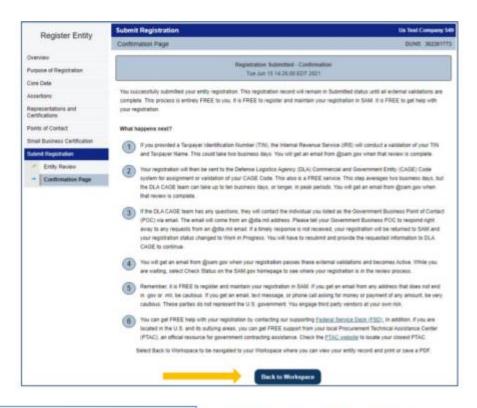
Note: The image below does not capture the entire "Entity Review" page. Please review all fields and sections by scrolling through the entire page.



At the bottom of the "Entity Review" page, after all entity information has been reviewed, click the "SUBMIT" button to complete your entity registration. You are now finished with registering your entity in SAM.gov.



After submitting your entity registration, a confirmation page will display, providing next steps and the option to return to your SAM Workspace. Review "What happens next?" and then click "Back to Workspace" where you can review, print a copy, or save to PDF your entity record.





In your Workspace you can view your entity and track the registration status. Your registration will remain in the "Submitted" stage until it passes external validations, at which point the entity registration will become "Active".

Your entity's Unique Entity ID (SAM) is automatically assigned when the entity is put into the "Active" status after passing validation. You will then be able to view your Unique Entity ID (SAM) in your Workspace.

You can find help with registering your entity on SAM.gov here <a href="https://sam.gov/content/help">https://sam.gov/content/help</a> where you can search the <a href="mailto:Knowledge Base">Knowledge Base</a>, "Go to Incident" or "Go to Live Chat".

You may also contact the Federal Service Desk (FSD) by phone at 866-606-8220 Monday – Friday 8:00 a.m. to 8:00 p.m. Eastern Time.

**Complete form. (Must be submitted with Bid)** 



## DEPARTMENT OF PLANNING & DEVELOPMENT DIVISION OF COMMUNITY DEVELOPMENT

Additional Submission by Prime Contractor prior to the start of work date

Name of Bidder (Prime Contractor)		_
Dun & Bradstreet (D-U-N-S Number)		_
Employer Identification Number (EIN) (Is also known as Federal Tax Identification Number)		_
Is your business registered with System fo	or Award Management? YesNo_	<u> </u>
If NO, please register your business with S	System for Award Management.	
Date of Registration		
Name of Subcontractor		
Dun & Bradstreet (D-U-N-S Number)		_
Employer Identification Number (EIN) (Is also known as Federal Tax Identification Number)		_
Is your business registered with System fo	or Award Management? YesNo_	
If NO, please register your business with S	System for Award Management.	
Date of Registration		
Name and Title of Authorized Representative (print or t	type)	
Signature of Authorized Representative	Date	

### MBE/WBE PARTICIPATION AFFIDAVIT

Item Description (as seen on RFP):	
Prime Bidder:	
Prime Bidder (Company) Phone Number:	
Prime Bidder (Company) Zip Code:	<del></del>
Which one of the following describes your busine	ess' status in terms of Minority and/or Woman-Owned Business
Enterprise certification with the State of Rhode Is	land?MBEWBENeither MBE nor WBE
By initialing the following sections and signing authorized representative of contractor, I mak	the bottom of this document in my capacity as the contractor or an e this Affidavit:
(WBEs) should have the maximum opportunity to	ority business enterprises (MBEs) and women business enterprises o participate in procurements and projects as prime contractors and e Code of Ordinances and Chapter 31-14 <i>et seq</i> . of the Rhode Island cicipation goals apply to contracts.
The goal for Women's Business E	Interprise (MBE) participation is 10% of the total bid value. Interprise (WBE) participation is 10% of the total bid value. BE/WBE participation is 20% of the total bid value.
I acknowledge the City of Providence's goals o	f supporting MBE/WBE certified businesses. Initial
the City of Providence (MBE/WBE Office), copie	npany must submit to the Minority and Women's Business Coordinator at es of all executed agreements with the subcontractor(s) being utilized to ments of the RI General Laws. <b>Lunderstand that these documents</b> of the proceed. Initial
	firm must submit to the MBE/WBE Office canceled checks and quarterly basis verifying payments to the subcontractors(s) utilized
I understand that I must substitute another certific	unable to utilize the subcontractor(s) identified in my Statement of Intented MBE and WBE firm(s) to meet the participation goals. <b>Lunderstand</b> obtained the written approval of the MBE/WBE Office.
books, records and files of my firm from time t	thorized representatives of the City of Providence may examine the to time, to the extent that such material is relevant to a g with the City's MBE/WBE participation requirements.
I do solemnly declare and affirm under the per and correct to the best of my knowledge, information of the correct to the best of my knowledge.	nalty of perjury that the contents of the foregoing Affidavit are true mation and belief.
Signature of Bidder	Printed Name
Company Name	

### SUBCONTRACTOR DISCLOSURE FORM

Fill out this form only if you WILL SUBCONTRACT with other parties. If you will not subcontract any portion of the proposed bid, do not fill out this form.

Prime Bidder:			Primary 1	NAICS Code: _		
Item Description (as seen on RFP)	):					
Please list all Subcontractors be and the dollar amount to be subcontractors in https://www.naics.com/search/	ntracted. Ple	ase check	off MBE and W	BE where applica	ble. The directory of all	
Proposed Subcontractor	MBE	WBE	Primary NAICS Code	Date of Mobilization	\$ Value of Subcontract	
					\$	
					\$	
					\$	
					\$	
					\$	
					\$	
A. MBE SUBCONTRACTED A	MOUNT:				\$	
B. WBE SUBCONTRACTED A	MOUNT:				\$	
C. NON MBE WBE SUBCONTI	RACTED AN	MOUNT	:		\$	
D. DOLLAR AMOUNT OF WO	ORK DONE	BY THE	PRIME CONTI	RACTOR:	\$	
E. TOTAL AMOUNT OF BID (	SUM OF A,	B, C & I	D):		\$	
F. PERCENTAGE OF BID SUE WBEs. (Add A and B. Divide by						%
Please read and initial the following	g statement ac	cknowled	ging you understa	ınd.		
If the percentage of the total amoun prime contractor is NOT a Rhode Is REQUEST FORM for considerate	sland State-ce	ertified M	BE or WBE, <b>you</b>	must fill out the	MBE/WBE WAIVER	
Signature of Prime Contractor			Printed Name		Date Signed	

#### MBE/WBE WAIVER REQUEST FORM

Fill out this form only if you are using subcontractors and did not meet the 20% MBE/WBE participation goal. MBE or WBE Prime Bidders that are certified by the State of Rhode Island are NOT REQUIRED to fill out this form.

Submit this form to the City of Providence MBE/WBE Outreach Director, Grace Diaz, at mbe-wbe@providenceri.gov for review **prior to bid submission**. This waiver applies only to the current bid which you are submitting to the City of Providence and does not apply to other bids your company may submit for in the future. Prime Bidder: Company Trade: Item Description (as seen on RFP): To receive a waiver, you must list the certified MBE and/or WBE companies you contacted, the name of the primary individual with whom you interacted, and the reason the MBE/WBE company could not participate on this project. **MBE/WBE Company** Individual's Name **Company Trade** Why did you choose not to work with this company? Name I acknowledge the City of Providence's goal of a combined MBE/WBE participation is 20% of the total bid value. I am requesting a waiver of % MBE/WBE (20% minus the value of **Box F** on the Subcontractor Disclosure Form). If an opportunity is identified to subcontract any task associated with the fulfillment of this contract, a good faith effort will be made to select MBE/WBE certified businesses as partners. Signature of Prime Contractor Printed Name Date Signed Signature of City of Providence Printed Name of City of Providence Date Signed

MBE/WBE Outreach Director

MBE/WBE Outreach Director



# DEPARTMENT OF PLANNING & DEVELOPMENT DIVISION OF COMMUNITY DEVELOPMENT CERTIFICATION OF CONTRACTOR REGARDING EQUAL EMPLOYMENT OPPORTUNITY

(For Prime Contracts Exceeding \$10,000) INSTRUCTIONS

This certification is required pursuant to Executive Order 11246 (30 F.R. 12319-25). The implementing rules and regulations provide that any bidder or prospective contractor, or any other of their proposed subcontractors, shall state as an initial part of the bid or negotiations of the contract whether it has participated in any previous contract or subcontract subject to the equal opportunity clause, and if so, whether it has filed all compliance reports due under applicable instructions.

Where the certification indicates that the bidder has not filed a compliance report due under applicable instructions, such

## CERTIFICATION BY BIDDER

Name a	nd address of bidder
1.	Bidder has participated in a previous contract or subcontract subject to the EEO Clause.
	YesNo
2.	Compliance reports were required to be filed in connection with such contract or subcontract.
	YesNo
3.	Bidder has filed all compliance reports due under applicable instructions, including SF-100.
	YesNo
4.	Have you ever been or are you being considered for sanction due to violation of Executive Order 11246, as amended?
	YesNo
Name a	nd Title of Authorized Representative (print or type)
Signatu	re of Authorized Representative Date



## DEPARTMENT OF PLANNING & DEVELOPMENT DIVISION OF COMMUNITY DEVELOPMENT CERTIFICATION OF CONTRACTOR REGARDING SEGREGATED FACILITIES

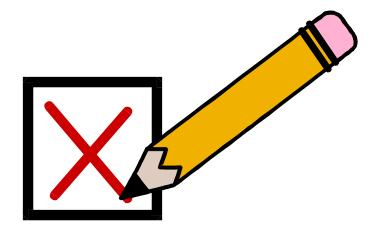
(For Prime Contracts Exceeding

\$10,000) Name of Prime Contractor:	
Project Name and Number:	
The undersigned hereby certifies that:	
No segregated facilities will be maintained as required by Title VI of the Civil Rights Act of 1964.	

Name and Title of Authorized Representative (print or type)		
Signature of Authorized Representative	Date	

## CERTIFICATIONS FOR SUBCONTRACTORS

Must be submitted by Prime Contractor For each applicable Subcontractor prior to start of work





## DEPARTMENT OF PLANNING & DEVELOPMENT DIVISION OF COMMUNITY DEVELOPMENT CERTIFICATION OF SUBCONTRACTOR REGARDING EQUAL EMPLOYMENT OPPORTUNITY

(For Subcontracts)

### **INSTRUCTIONS**

This certification is required pursuant to Executive Order 11246 (30 F.R. 12319-25). The implementing rules and regulations provide that any bidder or prospective contractor, or any other of their proposed subcontractors, shall state as an initial part of the bid or negotiations of the contract whether it has participated in any previous contract or subcontract subject to the equal opportunity clause, and if so, whether it has filed all compliance reports due under applicable instructions.

Where the certification indicates that the bidder has not filed a compliance report due under applicable instructions, such bidder shall be required to submit a compliance report within seven (7) calendar days after bid opening. No contract shall be awarded unless such report is submitted.

### **CERTIFICATION BY SUBCONTRACTOR**

nd	address of subcontractor	
	Bidder has participated in a previous contract or subcontract subjec	to the EEO Clause.
	YesNo	
2.	Compliance reports were required to be filed in connection with sucl	contract or subcontract.
	YesNo	
3.	Bidder has filed all compliance reports due under applicable instruc	ions, including SF-100.
	YesNo	
1.	Have you ever been or are you being considered for sanction due to v	iolation of Executive Order 11246, as amended?
	YesNo	
	<del>_</del>	
 Name	e and Title of Authorized Representative (print or type)	
	<b>1 1 1 1</b>	
	ture of Authorized Representative	Date



## DEPARTMENT OF PLANNING & DEVELOPMENT DIVISION OF COMMUNITY DEVELOPMENT CERTIFICATION OF SUBCONTRACTOR REGARDING SEGREGATED FACILITIES

(For Subcontracts)

Name of Subcontractor:	_
Project Name and Number:	The
undersigned hereby certifies that:	
No segregated facilities will be maintained as required by T	itle VI of the Civil Rights Act of 1964.

Name and Title of Authorized Representative (print or ty	<b>/pe)</b>
Signature of Authorized Representative	Date

#### **FEDERAL REQUIREMENTS**

#### 1. TITLE VI OF THE CIVIL RIGHTS ACT OF 1964

(P.L. 88-352), as amended, (42 USC 2000d) and the requirements imposed by the Regulations of the Department of Commerce (15 CFR Part 8) issued pursuant to that Title. In accordance therewith no person in the United States shall, on the grounds of race, handicap, color, sex, national origin or familial status be excluded from participation in, be denied the benefits or be otherwise subjected to discrimination under any program or activity which is paid for with federal funds. The Owner further adds that there shall not be any form of discrimination by any party in any CDBG contract on the basis of familial status, sexual orientation or sex.

### 2. REHABILATATION ACT OF 1973

29 USC 794, Executive Order 11914, Section 504. No otherwise qualified handicapped individual shall, solely by reason of his/her handicap, be denied the benefits of, be excluded from participation in, or be subjected to discrimination under any program or activity receiving federal financial assistance.

#### 3. SECTION 202 OF EXECUTIVE ORDER 11246

A. Activities and contracts not subject to Section 202

## (Applicable to Federally assisted construction contracts and related subcontracts of \$10,000 and under.)

During the performance of this contract, the contractor agrees as follows:

- 1. The contractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. The Contractor shall take affirmative action to ensure that applicants for employment are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, or national origin. Such action shall include, but not be limited to the following: employment upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of Compensation; and selection for training, including apprenticeship.
- 2. The contractor shall post in conspicuous places, available to employees and applicants for employment, notices to be provided by the Contracting Officer setting forth the provisions of this non-discrimination clause. The Contractor shall state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, or national origin.
- 3. Contractors shall incorporate foregoing requirements in all subcontracts.
  - B. Activities and contracts subject to Section 202

## Applicable to Federally assisted construction contracts and related subcontracts exceeding \$10,000.

During the performance of this contract, the contractor agrees as follows:

- 1. a) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. The contractor will take affirmative action to ensure that applicants are employed, and employees are treated during employment without regard to their race, color, religion, sex, or national origin. Such action shall include, but not be limited to the following: employment upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.
- b) The contractor will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration without regard to race, color, religion, sex, or national origin.
- c) The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided by the Contract Compliance Officer advising the said labor union or workers' representative of the contractor's commitment under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- d) The contractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.
- e) The contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules regulations and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the Department and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.
- f) In the event of the contractor's noncompliance with the non-discrimination clauses of this contract or with any of the said rules, regulations, or orders, this contract may be canceled, terminated, or suspended in whole or in part and the contractor may be declared ineligible for further Government contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.
- g) The contractor will include the provisions of the sentence immediately preceding paragraph (1) and the provisions of paragraphs (1) through (7) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of

Labor issued pursuant to Section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The contractor will take such action with respect to any subcontract or purchase order as the Department may direct as a means of enforcing such provision, including sanctions for non-compliance. Provided, however, that in the event a contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Department the contractor may request the United States to enter into such litigation to protect the interest of the United States.

2. The applicant hereby agrees that it will incorporate or cause to be incorporated into any contract for construction work, or modification thereof, as defined in the regulations of the Secretary of Labor at 41 CFR Chapter 60, which is paid for in whole or in part with funds obtained from the Federal Government or borrowed on -the credit of the Federal Government pursuant to a grant, contract, loan insurance, or guarantee, or undertaken pursuant to any Federal program involving such grant, contract, loan, insurance, or guarantee, the following equal opportunity clause:

During the performance of this contract, the contractor agrees as follows:

- a) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. The contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex, or national origin, such action sham include, but not be limited to the following: Employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment notices to be provided setting forth the provisions of this nondiscrimination clause.
- b) The contractor will, in all solicitations or advertisements for employees placed by or on behalf of the contractor; state that all qualified applicants WM receive considerations for employment without regard to race, color, religion, sex, or national origin.
- c) The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract of understanding, a notice to be provided advising the said labor union or workers' representatives of the contractor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and- applicants for employment.
- d) The contractor will comply with all provisions of Executive, Order 11246 of September 24, 1965, and the rules, regulations, and relevant orders of the Secretary of Labor.
- e) The contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the administering agency and the Secretary of Labor for 'purposes of investigation to ascertain compliance with such rules, regulations, and orders.

- f) In the event of the contractor's noncompliance with the nondiscrimination clauses of this contract or with any of the said rules, regulations, or orders, this contract may be canceled, terminated, or suspended in whole or in part and the contractor may be declared ineligible for further Government contracts or federally assisted construction contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.
- g) The contractor will include the portion of the sentence immediately preceding paragraph (1) and the provisions of paragraphs (1) through (7) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for noncompliance: Provided, however, that in the event a contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the administering agency, the contractor may request the Untied States to enter into -such litigation to protect the interests of the United States.

The applicant further agrees that it will be bound by the above equal opportunity clause with respect to its own employment practices when it participates in federally assisted construction work: Provided, that the applicant so participating is a State or local government, the above equal opportunity clause is not applicable to any agency, instrumentality or subdivision of such government which does not participate in work on or under the contract.

The applicant agrees that it will assist and cooperate actively with the administering agency and the Secretary of Labor in obtaining the compliance of contractors and subcontractors with the equal opportunity clause and the rules, regulations, and relevant orders of the Secretary of Labor, that it will furnish the administering agency and the Secretary of Labor such information as they may require for the supervision of such compliance, and that it will otherwise assist the administering agency in the discharge of the agency's primary responsibility for securing compliance.

The applicant further agrees that it will refrain from entering into any contract. Or contract modification subject to Executive Order 11246 of September 24, 1965, with a contractor debarred from, or who has not demonstrated eligibility for, Government contracts and federally assisted construction contracts pursuant to the Executive order and will carry out such sanctions and penalties for violation of the equal opportunity clause as may be imposed upon contractors and subcontractors by the administering agency or the Secretary of labor pursuant to Part IL Subpart D of the Executive order. In addition, the applicant agrees that if it fails or refuses to comply within these undertakings, the administering agency may take any or all of the following actions: Cancel, terminate, or suspend in whole or in part this grant (contract, loan, insurance, guarantee); refrain from extending any further assistance to the applicant under the program with respect to which the failure or refund occurred until satisfactory assurance of future compliance has been received from such applicant; and refer the case to the Department of Justice for appropriate legal proceedings.

# 3. CERTIFICATION OF NONSEGREGATED FACILITIES AS REQUIRED BY THE MAY 19, 1967, ORDER (32 F.R. 74390) ON ELIMINATION OF SEGREGATED FACILITIES, BY THE SECRETARY OF LABOR.

Prior to the award of any construction contract or subcontract exceeding \$10,000, the Contractor shall submit signed Certification of Non-segregated Facilities Forms for him/herself and all subcontractors.

### 4. THE AGE DISCRIMINATION ACT OF 1975

No person in the United States shall, on the basis of age, be excluded from participation or be denied the benefits of, or be subjected to discrimination under, any program or activity undertaken with federal funds.

### 5. SECTION 109 OF THE HOUSING AND COMMUNITY DEVELOPMENT ACT OF 1974

No person in the United States shall on the ground of race, color, national origin, or sex be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity funded in whole or in part with funds made available under Title I of the Housing and Community Development Act of 1974.

### 6. LABOR STANDARDS

- a) <u>Davis-Bacon Act</u> as amended (40 U.S.C 276a 276a-5.) All laborers and mechanics employed by contractors or subcontractors, including employees of other governments, on construction work assisted under this contract, and subject to the provisions of the federal acts and regulations listed in this paragraph, shall be paid wages at rates not less than those prevailing on similar construction in the locality as determined by the Secretary of Labor in accordance with the Davis-Bacon Act.
- b) <u>Contract Work Hours and Safely Standards</u> Act (40 U.S.C. 327-333). All laborers and mechanics employed by contractors or subcontractors shall receive overtime compensation in accordance with and subject to the provisions of the Contract Work Hours and Safety Standards Act, and the contractors and subcontractors shall comply with all regulations issued pursuant to these acts and with other applicable Federal laws and regulations pertaining to labor standards.
- c) <u>Copeland Anti-Kickback Act</u> requires that workers be paid at least once a week, and without any deductions or rebates except permissible deductions.

### 7. TITLE IV OF THE LEAD BASED PAINT POISONING PREVENTION ACT

LEAD-BASED PAINT HAZARDS -The use of lead-based paint, that is any paint containing more than 1%- lead by weight, is strictly prohibited from use on any interior surface or exterior surface in any building being rehabilitated with funding from the Community Development program. Additionally, any evidence of a health hazard which is defined as cracking, scaling,

peeling and loose lead-based paint must be treated to prevent the ingestion of the contaminated paint. It is further necessary to assume that any of the above conditions constitute an immediate or potential hazard and must be corrected using appropriate methods.

### 8. THE UNIFORM RELOCATION ASSISTANCE AND REAL PROPERTY ACQUISITION POLICIES ACT OF 1970

(P.L. 91-646 as amended), 15 CFR Part 916 including amendments thereto and regulations there under, as provided by 1. M.R.SA 901 et seq. The Contractor and Grantee will ensure that all work performed under this Agreement will be done in accordance with this act.

9. THE NATIONAL ENVIRONMENTAL POLICY ACT OF 1969 (P.I. 90-190); THE NATIONAL HISTORIC PRESERVATION ACT OF 1966 (80 Stat 915, 16 USC 470); AND EXECUTIVE ORDER NO. 11593 OF MAY 31, 1971.

The chief executive officer of the Grantee consents to assume the status of a responsible Federal official under the National Environmental Policy Act of 1969 (NEPA) and other provisions of Federal law, as specified in 24 CTR 58, which further the purposes of NEPA in the areas of historic preservation, noise control floodplains, coastal zones and wetlands, air quality, water quality, wildlife, endangered species, solid waste disposal, and environmental effects abroad.

The chief executive officer is authorized and consents on behalf of the Grantee and himself to accept the jurisdiction of the federal courts for the purpose of enforcement of his responsibilities as such an official.

### 10. THE FLOOD DISASTER PROTECTION ACT OF 1963 (P.L 93-234), AS AMENDED.

The Grantee will fulfill any flood insurance requirements under this Act and any regulations issued there under which NOAA may issue.

- 11. ARCHITECTURAL BARRIERS ACT (P.L 90-480), 42 USC 4151, AS AMENDED, and the regulations issued or to be issued there under, prescribing standards for the design and construction of any building or facility intended to be accessible to the public or which may result in the employment of handicapped persons therein.
- 12. THE CLEAN AIR ACT AS AMENDED, 42 USC 1857 ED SEQ.9 THE FEDERAL WATER POLLUTION CONTROL ACT, AS AMENDED, 33 USC 1251 et seq. and the regulations of the Environmental Protection Agency with respect thereto, at 40 CFR Part 15, as amended from time to time.

In no event shall any amount of the assistance provided under this Agreement be utilized with respect to a facility which has given rise to a conviction under section 113(c) (1) of the Clean Air Act or section 309(c) of the Federal Water Pollution Control Act.

### 13. MINORITY BUSINESS ENTERPRISES

Referenced in Executive Order #11625, OMEB Circular A-102 Attachment 0 Procurement Standards. Grantees are to give priority to Minority Business Enterprises in purchase of supplies, equipment, construction, and services.

### 14. CDBG CERTIFICATION

Grantee shall provide any certification required under Sections 104(b), 106(d)(5) or under any other provision of Title I of the Housing and Community Development Act of 1974 as amended through 1983, including Amendments made by the Housing and Urban Rural Recovery Act of 1983, and shall comply with the terms of such certifications.

### 15. SECTION 319 OF PUBLIC LAW 101-121

The grantee shall comply with the requirements of Section 319 of Public Law 101-121 regarding government wide restrictions on lobbying.

### SPECIAL CONDITIONS PERTAINING TO HAZARDS, SAFETY STANDARDS AND ACCIDENT PREVENTION

### A. Lead-Based Paint Hazards

(Applicable to contracts for construction or rehabilitation of residential structures) The construction or rehabilitation of residential structures is subject to the HUD Lead-Based Paint regulations, 24 CFR Part 35. The contractor and Subcontractors shall comply with the provisions for the elimination of lead-based paint hazards under sub-part B of said regulations. The Owner will be responsible for the inspections and certifications required under Section 35.14(f) thereof.

### **B.** Use of Explosives

When the use of explosives is necessary for the prosecution of the work, the Contractor shall observe all local, state and federal laws in purchasing and handling explosives. The Contractor shall take all necessary precautions to protect completed work, neighboring property, water lines, or other underground structures. Where there is danger to structures or property from blasting, the charges shall be reduced and the material shall be covered with suitable timber, steel or rope mats. The Contractor shall notify all owners of public utility property of intention to use explosives at least eight hours before blasting is done, close to such property. Any supervision of direction of use of explosives by the Engineer does not in any way reduce the responsibility of the Contractor or his Surety for damages that may be caused by such use.

### C. Danger Signals and Safely Devices

The Contractor shall make all necessary precautions to guard against damages to property and injury to persons. He shall put up and maintain in good condition, sufficient red or warning lights at night, suitable barricades and other devices necessary to protect the public. In case the Contractor fails or neglects to take such precautions, the Owner may have such lights and barricades installed and charge the cost of this work to the Contractor. Such action by the Owner does not relieve the Contractor of any liability incurred under these specifications or contract.

### Federal Labor Standards Provisions U.S. Department of Housing and Urban Development

### **Applicability**

The Project of Program to which the Construction work covered by this contract pertains is being assisted by the United States of America and the following Federal Labor Standards Provisions are included in this Contract pursuant to the provisions applicable to such Federal assistance.

A.1. (i) Minimum Wages. All laborers and mechanics employed or working up on the site of the work (or under the United States Housing Act of 1937 or under the Housing Act of 1949 in the construction of development of the project) will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR Part 3), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics. Contributions made or costs reasonably anticipated for bona fide fringe benefits under Section 1(b)(2) of the Davis-Bacon Act on behalf of laborers of mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of 29 CFR 5.5(a)(1)(iv); also, regular contributions made or costs incurred for more than weekly period (but not less often than quarterly) under plans, funds, or programs, which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification or work actually performed, without regard to skill, excepts as provided in 29 CFR Part 5.5 (a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided that the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under 29 CFT part 5.5(a)(1)(ii) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

- (ii) (a) Any class of laborers or mechanics which is not listed in the wage determination and which is to be employed under the contact shall be classified in conformance with the wage determination. HUD shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:
- (1) The work to be performed by the classification requested is not performed by a classification in the wage determination; and
- (2) The classification is utilized in the area by the construction industry; and
- (3) The proposed wage rate, including any bona fide fringe benefits, bears a relationship to the wage rates contained in the wage determination.
- (b) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and HUD or its designee on

the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by HUD or its designee to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of labor, Washington, D.C. 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise HUD or its designee or will notify HUD or its designee within the 30-day period that additional time is necessary. (Approved by the Office of Management and Budget under OMB control number 1215-0140.)

- (c) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and HUD or its designee do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), HUD or its designee shall refer the questions, including the views of all interested parties and the recommendation of HUD or its designee to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise HUD or its designee or will notify HUD or its designee within the 30-day period that additional time is necessary. (Approved by the Office of Management and Budget under OMB Control Number 1215-0140.)
- (d) The wage rate (including fringe benefits where appropriate) determined pursuant to subparagraphs (1) (b) or (c) of this paragraph, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.
- (iii) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe

- benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
- (iv) If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program. (Approved by the Office of Management and Budget under OMB Control Number 1215-0140.)
- 2. Withholding. HUD or its designee shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withhold from the contractor under this contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor so much that the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee or helper, employed or working on the site of the work (or under the United States Housing Act of 1937 or under the Housing Act of 1949 in the construction or development of the project), all or part of the wages required by the contract. HUD or its designee may, after

- written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased. HUD or its designee may, after written notice to the contractor, disburse such amounts withheld for and on account of the contractor or subcontractor to the respective employees to whom they are due. The comptroller General shall make such disbursements in the case of direct Davis-Bacon Act contracts.
- 3. (i) Payrolls and basic records. Payrolls and basic record relating thereto shall be maintained by the contractor during the course of the work preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work (or under the United States Housing Act of 1937, or under the Housing Act of 1949, in the construction or development of the project). Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in Section 1(b) (2) (B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of labor has found under 29 CFR 5.5 (a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonable anticipated in providing benefits under a plan or program described in Section 1(b)(2)(B) or the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits ins enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost
- incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs. (Approved by the Office of Management and Budget under OMB Control Numbers 1215-0140 and 1215-0017.)
- (ii) (a) The contractor shall submit weekly for each in which any contract work is performed a copy of all payrolls to HUD or its designee if the agency is a party to the contract, but if the agency is not such a party, the contractor will submit the payrolls to the applicant, sponsor or owner, as the case may be, for transmission to HUD or its designee. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR Part 5.5(a) (3) (i) except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the payrolls shall only need to include an individually identifying number for each employee (e.g., the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site http://www.dol.gov/esa/whd/forms/wh347instr.htm or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to HUD or its designee if the agency is a party to the contract, but if the agency is not such a party, the contractor will submit the payrolls to the applicant sponsor, or owner, as the case may be, for transmission to HUD or its

- designee, the contractor, or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this subparagraph for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to HUD or its designee. (Approved by the Office of Management and Budget under OMB Control Number 1215-0149.)
- (b) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:
- (1) That the payroll for the payroll period contains the information required to be provided under 29 CFR 5.5 (a)(3)(ii), the appropriate information is being maintained under 29 CFR 5.5(a)(3)(i), and that such information is correct and complete; (2) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in 29 CFR Part 3;
- (3) That each laborer or mechanic has been paid not less that the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.
- (c) The weekly submission of a property executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph AA.3. (ii)(b) of this section.

- (d) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under Section 1001 of Title 18 and Section 231 of Title 31 of the United States Code. (iii) The contractor of subcontractor shall make the records required under paragraph A.3. (i) of this section available for inspection, copying, or transcription by authorized representatives of HUD or its designee or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, HUD or its designee may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR Part 5.12.
- 4. (i) Apprentices and Trainees. Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State Apprenticeship Agency recognized by the Bureau, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprentice program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of

apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the age determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the even the Bureau of Apprenticeship and Training, or a State Apprenticeship Agency recognized by the Bureau, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices

at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(ii) Trainees. Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less that the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually

.\_\_\_\_\_

- performed. In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.
- (iii) Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirement of Executive Order 11246, s amended, and 29 CFR Part 30.
- 5. Compliance with Copeland Act requirements. The contractor shall comply with the requirements of 29 CFR Part 3, which are incorporated by reference in this contract.
- 6. Subcontracts. The contractor or subcontractor will insert in any subcontract the clauses contained in 29 CFR 5.5(a)(1) through (10) and such other clauses as HUD or its designee may by appropriate instructions require, and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all contract clauses in 29 CFR Part 5.5
- 7. Contracts termination; debarment. A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor as provided in 29 CFR 5.12
- 8. Compliance with Davis-Bacon and Related Act Requirements. All ruling and interpretations of the Davis-Bacon and Related Act contained in 29 CFR Parts 1, 3, and 5 are herein incorporated by reference in this contract.
- 9. Disputes concerning labor standards. Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the

- Department of Labor set forth in 29 CFR Parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and HUD or its designee, the U.S. Department of Labor, or the employees or their representatives.
- 10. (i) Certification of Eligibility. By entering in to this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of Section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a) (1) or to be awarded HUD contracts or participate in HUD programs pursuant to 24 CFR part 24.
- (ii) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of Section 3(a) of the Davis-Bacon Act of 29 CFR 5.12(a)(1) or to be awarded HUD contracts or participate in HUD programs pursuant to 24 CFR Part 24.
- (iii) The penalty to making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001. Additionally, U.S. Criminal Code, Section 1010, Title 18, U.S.C., "Federal Housing Administration transaction", provides in part: "Whoever, for the purpose of ...influencing in any way the action of such Administration...makes, utter of publishes any statement, knowing the same to be false...shall be fined not more than \$5,000 or imprisoned not more than two years, or both."
- 11. Complaints, Proceedings, or Testimony by Employees. No laborer or mechanic to whom the wage, salary, or other labor standards provisions of this Contract are applicable shall be discharged or in any other manner discriminated against by the Contractor or any subcontractor because such employee has

filed any complaint or instituted or caused to be instituted any proceeding or has testified or is about to testify in any proceeding under or relating to the labor standards applicable under this Contract to his employer.

- B. Contract Work Hours and Safety Standards Act. As used in this paragraph, the terms "laborers" and "mechanics" include watchmen and guards.
- (1) Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work I excess of forty hours I such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours workweek.
- (2) Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in subparagraph (1) or this paragraph, the contractor and any subcontractor responsible therefore shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in subparagraph (1) of this paragraph, in the sum of \$25 for each calendar day on which such individual was required or permitted to work in excess of forty hours without payment of the overtime wages required by the clause set forth in subparagraph (1) of this paragraph.
- (3) Withholding for unpaid wages for liquidated damages. HUD or its designees shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold of cause to be withheld form any moneys payable on account of work performed by the contractor or subcontractor under any such contract or nay other Federal contract with the same prime contract, or any other Federallyassisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidates damages as provided in the clause set forth in subparagraph (2) of this paragraph.
- (4) Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses set forth in subparagraph (1) through (4) of this paragraph and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in subparagraphs (1) through (4) of this paragraph.
  - C. Health and Safety
- (1) No laborer or mechanic shall be required to work in surrounding or under working conditions which are unsanitary, hazardous, or dangerous to his health and safety as determined under construction safety and health standards promulgated by the Secretary of Labor by regulation.
- (2) The Contractor shall comply with all regulations issued by the Secretary of Labor pursuant to Title 29 Part 1926 (formerly Part 1518) and failure to comply may result in imposition of sanctions pursuant to the Contract Work Hours and

\_\_\_\_\_\_

Safety Standards Act (Public Law 91-54, 83 Stat. 96). 40 USC 3701 et seq.

(3) The Contractor shall include the provisions of this Article in every subcontract so that such provisions will be binding on each subcontractor. The

Contractor shall take such action with respect to any subcontract as the Secretary of Housing and Urban Development or the Secretary of Labor shall direct as a means of enforcing such provisions.

### ATTACHMENT B



#### **Rhode Island Department of Health**

3 Capitol Hill Providence. RI 02908-5097

TTY: 711 www.health.ri.gov

February 24, 2023

City of Providence Ben Lobaugh 25 Dorrance St Providence, RI 02903

Plan No.: 195603

Dear Owner/Agent:

The Rhode Island Department of Health (RIDOH) reviewed and approved the Asbestos Abatement Plan you submitted for Davey Lopes Recreation Center, 227 Dudley St Providence. The plan will expire 12 months from the date of this letter and the work must begin within six months of this approval date.

The asbestos abatement work must be performed by a RIDOH-licensed Asbestos Contractor in accordance with all other requirements of the Rules and Regulations for Asbestos Control (216-RICR-50-15-1). A Start Work Notification (ASB-22) must be submitted to RIDOH at least 10 business days before the work begins. In addition, the Asbestos Supervisor must notify RIDOH at 401-222-7796 when site preparation begins. Clearance air sample results and confirmation of disposal of asbestos must also be submitted to RIDOH in accordance with 216-RICR-50-15-1.

Please contact Jenny Brear, 4012227749 or <u>doh.asbestos@health.ri.gov</u> if you have any questions regarding these requirements.

Sincerely,

Bonnie Cassani-Brandt Asbestos & Radon Program Manager Center for Healthy Homes & Environment Division of Environmental Health

Cc: Asbestos Consultant





### RHODE ISLAND DEPARTMENT OF HEALTH

### NOTARIZED CERTIFICATION OF ASBESTOS ABATEMENT PLAN

Facility/Building: Davey Lopes Recreation	Center
Address: 227 Dudley Street	
City/Town: Providence ZIP: 0	2907 Amendment Phase No:
Abatement Plan Prepared By: Joseph M. Lep	
Summary of specific waivers/variances being r	
~ ····································	
Abatement Information	
Abatement Method: (Check all that apply)	
Removal	Demolition
Encapsulation	Glovebag
Enclosure	Asphalt Roofing
Other (specify):	
Asbestos Contractor: TBD	RIDOH License No.: TBD
Estimated Starting Date: April 2023	
Pre-Abatement Sampling Information	
Bulk samples collected by: Danny Mullen	RIDOH License No.: Al000963
Bulk samples analyzed by: RI Analytical	RIDOH License No.: PLM00142
Air samples collected by: ECM Inc.	RIDOH License No.: PCM00131
Air samples collected by: ECM Inc.	
Air samples analyzed by: ECM Inc.	RIDOH License No.: PCM00131
Clearance Air Sampling Information Air samples to be collected by: ECM Inc.	
Air samples to be analyzed by: ECM Inc.	RIDOH License No.: PCM00131
	FICATION
Laws Chapter 23-24.5 and the Rules and Regulations for management activities performed in conjunction with the	is plan will be in compliance with the specifications rrent revision of all applicable federal and state regulations;
document signer), and proved to me through satisfactory signed on the preceding or attached document, and ackn	On this 14th day of Fibruary ,2023 before Jonathan R. Martin (name of vevidence of identification to be the person whose name is lowledged that they signed it voluntarily for its stated
purpose.	ONTA
The state of the s	esion Britage Owner or Agent
Signature of Building Owner or Agent	TARL 8:
(official signature and stamp of notary)	JBLIC 9
And Porton	My Commission expires: 2/25/26
Printed Name, ID Number Notary Public	100 E array
Form ASB-16B Revised III	1 20 2 2 m



## RHODE ISLAND DEPARTMENT OF HEALTH Center for Healthy Homes and Environment – Asbestos Program

### ABATEMENT PLAN APPLICATION

1.	Owner/Contact Name:						
	Title:						
	If owned by an organization, organization name						
	Address:						
	City/State:		ZIP:				
	Phone: E	Email: _					
2.	Application prepared by: Name:		RIDOH License No.:				
	Phone: E	Email: _					
4.	Location of abatement work:  Facility/Building Name:						
	Street Address: City/Town:						
5.	Reason for Application: (Check all that apply) Emergency Plan No. Standard Plan Annual Plan Response to a Notice or Order (attach copy)						
6.	Asbestos contractor (if known):						
	Name:		RIDOH License No.:				

/.	Estimated Abatement work Dates				
	Start Date:C	Completion Date:			
8.	Abatement Method: (Check all that apply)				
٠.	Removal	Glovebag			
	Encapsulation	Asphalt Roofing			
	Enclosure	Operations & Maintenance Only			
	Demolition	operations & Maintenance only			
	Other (Specify):				
9.	Facility Type: (Check one)				
	Child Care Facility	Private Residential Dwelling			
	College/University	Public Housing			
	Hospital	School/School Building			
	Other (Specify):	•			
10	Building Access: (Check one)	N. D.I.			
	Public Access	No Public Access			
	Limited Public Access	Other (specify)			
11	. Bulk Sampling:				
	A. Samples collected by:	DIDONII.			
	Name:	RIDOH License No.:			
	B. Sampling Methodology: (Check one)				
	EPA AHERA Sampling requirements [40]	0 CFR 763.86].			
	Other (Specify):				
	C. Analytical Service:				
	Name:	RIDOH License No.:			
	D. Analytical Method: (Check one)				
	PLM (Phase Light Microscopy)				
	TEM (Transmission Electron Micros	copy)			
		177			
	\ 1 \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \				

	re-Abatement Air Sampling:  . Samples collected by:	
$I\mathbf{A}$	Name:	RIDOH License No.:
В.	Affiliation:Analytical Service:	
_	Name:	RIDOH License No.:
C.	Analytical Method: (Check one)	
	PCM (Phase Contrast Microscopy) TEM (Transmission Electron Microscopy) Other (Specify):	
	Other (Specify).	
В	Provide the name and location of the authorized will be transferred for disposal (if known).	
N	roject Monitor: (not required)  ame:	RIDOH License No.:
A	ffiliation:	
	-Process & Clearance Air Sampling:  Describe in an attachment the type, number and collected outside the work area during the abate	<del>_</del>
В.	Describe in an attachment the plan of action to Occupational Air Exposure Standard for Asbest exceeded outside the work area during the abate	tos (0.01 fibers per cubic centimeter) is
C.	Describe in an attachment the type, number and collected as part of the final clearance testing.	location of air samples that will be
D	Describe in an attachment the plan of action to Occupational Air Exposure Standard for Asbest exceeded during final clearance testing.	

7. Asbestos Abatement Plan Application Fee: State Agency, fee waived	\$0
Operation & Maintenance Program Only	\$75
Up to One (1) NESHAP Unit	\$75
Between One (1) & Ten (10) NESHAP Units	\$300
Between Ten (10) & Fifty (50) NESHAP Units	\$600
Over Fifty (50) NESHAP Units	\$900
Annual Plan	N/A
One (1) NESHAP Unit = 260 linear feet or 160 square feet  8. I certify that this plan was prepared by me, and I am res  Name:  RIDOH I	
Signature:	Date:
Email:	Phone:

16. A separate and fully completed Form ASB-16A must be submitted for *each area* to be abated. List below the entry in Item 1 from each attached ASB-16A.



## RHODE ISLAND DEPARTMENT OF HEALTH Center for Healthy Homes and Environment – Asbestos Program

### ASBESTOS ABATEMENT PLAN APPLICATION

Supplemental Information: Area Description and Proposed Plan
Facility/Building:
INSTRUCTIONS: A separate and fully completed Form ASB-16A must be submitted for <i>each area</i> to be abated. All items on this form must be addressed. All references to attachments must be clearly identified. All attachments must be marked with the specific item numbers on this form to which they pertain.
1. Area Location/Identification (Room Name/No., etc.):
2. Attach a description of each type (e.g., pipe, ceiling, etc.) of asbestos-containing material (ACM) in this area, including condition, location, quantity, and asbestos content. Attach a copy of the laboratory report(s) for all samples. All laboratory reports must include the name of the building(s) and the location(s) of the sample(s).
3. Attach a current scale drawing of this area, showing direction of North and East, which has been clearly annotated to show the type, location, and quantity of all ACM in this area. This drawing must include a legend which acts as a guide to the scale, symbols and nomenclature used in the drawing. If a master plan or multiple drawings are provided, indicate the specific location(s) and drawing number(s) which depict this area. The location of the decontamination chamber must also be so indicated on the appropriate drawing(s).
<ul> <li>4. Proposed Plan:</li> <li>A. Attach a description of the interim Operations and Maintenance Plan that will be implemented in accordance with 1.17.2(B).</li> </ul>
B. Will any portion of this area be abated by use of 1.14 work procedures?  Yes No
If yes, indicate below which ACM in this area will be abated by use of the following 1.14 work procedures: (Check all that apply)
1.14.2 & 1.14.3 Removal

	1.14.2 & 1.14.4	Encapsulation	
	1.14.2 & 1.14.5	Enclosure	
	1.14.6	Demolition	
	1.14.7	Glovebag	
	1.14.8	Asphalt Roofing	
		Other (Specify)	
C.	Are you requesting abatement activities		ne above selected 1.14 procedure for any of the
	Yes N	o	
		is must be keyed to	of the waivers requested you are proposing to the specific section(s) of the regulations for
D.	Are you proposing in this area?	alternative procedu	ares under 1.16 for any of the abatement activities
	Yes N	o	
	proposing to uti	lize. <i>Alternate pro</i>	of the alternate procedures requested you are cedures must include a justification for not regulations and be as protective of public health.
E.	Will any ACM rem	ain in this area after	abatement?
	Yes N	o Beyond sco	ope of inspection
	•	-	CM that will remain and the details of the on- Plan that will be implemented in accordance with

### ATTACHMENT #1

### In Process and Clearance Air Sampling

- A. If requested by the building owner, ECM will be on site for part time monitoring and will collect one compliance air sample outside of each containment area daily for the duration of asbestos removal operations inside this building. The sample will be collected outside the decontamination unit.
- B. Any deviation in proper procedures on the part of the contractor shall be reported to the building owner. This includes inadequate paperwork on site, disagreement and/or any deviation from previously outlined work procedures, or if compliance samples in the work area vicinity exceed 0.01 f/cc. The contractor's work shall then be stopped, without repercussion to the building owner or the project-monitoring firm until any conflicts and/or problems have been resolved.
- C. After the interior areas have passed the consultant's visual inspection, he or his authorized representative will collect:
  - Area 1 A minimum of 2 PCM Clearance Air Samples per 1000sf of contained area.

    One (1) additional PCM Clearance Air sample will be collected per 1000sf of the containment area.
- D. If clearance monitoring after clean-up results in fiber concentrations in excess of the RI rules and regulation clearance air requirements, the project area shall be wet-cleaned, misted with water, and encapsulated with a liquid encapsulant. A period of no less than 24 hours shall elapse before the next set of clearance air samples can be collected. The sampling process shall be repeated until a satisfactory clearance air level is attained.

The asbestos contractor is held responsible for any costs associated with the recleaning and re-sampling of an area should clearance air samples exceed 0.01 f/cc.

### **ATTACHMENT #2**

### **ASB-16A-2**

### **Description of Asbestos Containing Material**

**Hallways:** There is approximately **770 sf** of asbestos containing floor tile over asbestos containing black mastic located throughout the hallways of the facility. Hallway tile is worn and will be abated as asbestos containing due to positive underlying mastic.

**Rooms off Hallway**: The Computer Room, Office, Concession Area, Activity Room and Storage Room are all located off of the flanking hallways. These rooms contain floor tile with asbestos containing black mastic. If money and time allow these areas will be abated under this application as part of the total project. There is approximately **1030 sf** of this material present in the above mentioned areas.

### ATTACHMENT #2 (Cont.)

Laboratory Analysis Reports:

- Pre Abatement Air Samples
   RI Analytical Asbestos Report



Environmental Consulting & Management Inc. 181 Amaral Street Riverside, RI 02915 401-438-1360

## Certificate of Analysis Asbestos PCM Air Analysis by NIOSH 7400

Client: City of Providence

**Project Location:** 227 Dudley Street, Providence, RI

Sample Type: Background Airs & Blanks

Project Number: 230090

Collected By: JML

Date: 2-8-2023

PCM Lot #:

<u>Sample</u>	<u>Identification</u>	Start	<u>End</u>	Flow (LPM)	Volume (L)	Fibers Fields	Fiber Density (f/mm²)	Concentration (f/cc)
1	Blank	-	-	-	-	0 100	<7	< -
2	Blank	-	-	-	-	0 100	<7	< -
3	Interior Hallway	13:34	14:48	15	1110	1 100	<7	< 0.0024

Analyzed By: Joseph M. Lepore Date Analyzed: 2-9-2023

<u>Standards</u>

Clearance Criteria: <0.01 f/cc

OSHA Permissible Exposure Limit (8 hour TWA): 0.1 f/cc

OSHA 30 Minute Excursion Limit: 1.0 f/cc Limit of Detection fibers/100 fields: 5.5 Limit of Detection fiber density: 7 f/mm Relative Standard Deviation:0.45

WO#23000097

Lab Certifications: RI AAL-0131

Reviewed By: Daniel J. Simas

MA AA000247



December 13, 2022

Mr. Benjamin Lobaugh
Capital Improvement Project Manager
Department of Public Property
Providence City Hall
25 Dorrance Street
Providence, RI 02903

C: 401.680.5548 C:401.749.6320 E: blobaugh@providenceri.gov

RE: Report - Limited Inspection and Sampling of Materials Suspected of Containing Asbestos Site: Davey Lopes Recreation Center, 227 Dudley St., Providence, RI 02907 RI Analytical Laboratories, Inc. Project #2022211 - PO #574788-0-PO

Dear Mr. Lobaugh:

RI Analytical Laboratories, Inc. (RI Analytical), Exposure Assessment and Management (EAM) Division would like to thank you for the opportunity to provide City of Providence, Department of Public Property (the "Client") with environmental consulting services.

#### **BACKGROUND**

RI Analytical conducted a limited asbestos inspection and sampling of building materials suspected of containing asbestos ("Suspect Materials") in the following areas at the above-referenced Site on December 6, 2022.

- 1st floor Southwest and Southeast (SW/SE) halls floor and cove base materials.
- 1st floor Northwest and Northeast (NW/NE) halls floor and cove base materials.
- 1<sup>st</sup> floor NE entry vestibule carpet glue materials.

The inspection and sampling was completed by a **RIDOH**<sup>1</sup>-certified and **EPA**<sup>2</sup>-accredited Asbestos Inspector, Mr. Danny Mullen (RIDOH #AI00963).

The purpose of this limited asbestos inspection and sampling was to identify whether specific, Suspect Materials at the Site designated by Client to be impacted during renovation, contain asbestos.

The asbestos inspection and sampling was limited in scope to the materials designated by Client and was not intended to be a comprehensive asbestos inspection of all types and quantities of Suspect Materials within the building, which may be required for compliance with **EPA NESHAP**<sup>3</sup>, **OSHA**<sup>4</sup> and **RIDOH** asbestos regulations, as applicable.

<sup>&</sup>lt;sup>1</sup> RIDOH = State of Rhode Island Department of Health

<sup>&</sup>lt;sup>2</sup> EPA = United State Environmental Protection Agency

<sup>&</sup>lt;sup>3</sup> NESHAP = National Emission Standards for Hazardous Air Pollutants, 40 CFR 61, Subpart M

<sup>4</sup> OSHA = US Dept. of Labor, Occupational Health and Safety Administration, 29 CFR 1926.1101 (Asbestos in Construction Std.).

Report – Limited Asbestos Inspection & Sampling
Site: Davey Lopes Recreation Center, 227 Dudley St., Providence, RI 02907

PO #574788-0-PO - RI Analytical Project #2022211 December 13, 2022 – Page 2

#### **METHODOLOGY**

Suspect Materials were classified into **Homogeneous Material (HM) groups**<sup>5</sup> to be sampled and analyzed for asbestos content by **PLM**<sup>6</sup>. In addition, **NOB**<sup>7</sup> materials were analyzed using PLM with gravimetric preparation. Samples were analyzed by RI Analytical, a RIDOH-certified Asbestos Analytical Laboratory (#PLM00142).

### **OBSERVATIONS AND RESULTS**

The subject building is a 2-story, commercial building. The foundation is concrete. Exterior building materials include brick facade and metal framed windows. Interior building materials include wood, carpet, VFT (Vinyl Floor Tile) and CFT (Ceramic Floor Tile) floors, gypsum board walls with smooth plaster skim coats and gypsum board ceilings with smooth plaster skim coats.

The EPA, OSHA, and the RIDOH, define a material that contains greater than one percent (>1%) asbestos utilizing PLM, as an **ACM**<sup>8</sup>. Material found to contain ≤1% asbestos by PLM laboratory analysis are defined as **ACWM**<sup>9</sup> and must be handled appropriately. Materials that are identified as "Not Detected" are specified as not containing asbestos.

The laboratory analytical results for samples collected and analyzed are summarized in **Table 1** below. Materials determined to be ACM are highlighted in **yellow** and to be ACWM are highlighted in **green**. The laboratory analytical report and chain-of-custody form are attached.

	Table 1 - Work Order #2212-20535 Suspect ACM - Laboratory Analytical Data Summary						
Line#	ine# HM/ Sample# Sample Location						
1	1A	12"x12" Vinyl Floor Tile (VFT) (Dark brown with light brown flecks)	Northeast hall floor	Not Detected			
2	1B	12"x12" VFT (Dark brown with light brown flecks)	Northwest hall floor	Not Detected			
3	2A	Black mastic under #1A on concrete	Northeast hall floor	5-15% Chrysotile			
4	2B	Black mastic under #1B on concrete	Northwest hall floor	5-15% Chrysotile			
5	2C	Black mastic under #3A on concrete	Southeast hall floor	5-15% Chrysotile			
6	3A	12"x12" VFT (Green with gray flecks)	Southeast hall floor	Not Detected			
7	3B	12"x12" VFT (Green with gray flecks)	Southwest hall floor	Not Detected			
8	4A	6" Vinyl Cove Base (VCB) (black)	Northwest hall wall	Not Detected			
9	4B	6" VCB (black)	Southwest hall wall	Not Detected			
10	5A	Yellow glue under #4A on wood baseboard molding	Northwest hall wall	Not Detected			
11	5B	Yellow glue under #4B on wood baseboard molding	Southwest hall wall	Not Detected			
12	6A	Yellow carpet glue on concrete	Northeast entry vestibule floor	Not Detected			
13	6B	Yellow carpet glue on concrete	Northeast entry vestibule floor	Not Detected			

Note: The ACM black mastic is adhered onto the underside of the 12"x12" VFT.

<sup>&</sup>lt;sup>5</sup> Homogeneous Material groups = Groups of materials similar in color, texture, and typically application

<sup>&</sup>lt;sup>6</sup> PLM = Polarized Light Microscopy, EPA/600/R-93/116 (1993) "Method for the Determination of Asbestos in Bulk Building Materials"

<sup>&</sup>lt;sup>7</sup> **NOB** = Non-Friable, Organically-Bound

<sup>&</sup>lt;sup>8</sup> **ACM** = Asbestos-Containing Material

<sup>&</sup>lt;sup>9</sup> **ACWM** = Asbestos-Containing Waste Materials

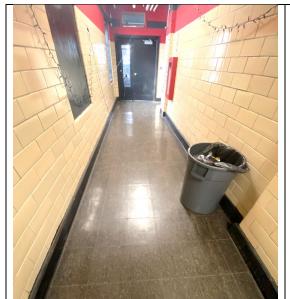
Report - Limited Asbestos Inspection & Sampling Site: Davey Lopes Recreation Center, 227 Dudley St., Providence, RI 02907 PO #574788-0-PO - RI Analytical Project #2022211 December 13, 2022 – Page 3

Utilizing the EPA, OSHA, and RIDOH protocol and criteria, laboratory analyses identified homogeneous materials noted in Table 2 as ACM. No ACWM was identified.

	Table 2 - ACM Inventory Summary							
нм	ACM Type (showing layers)	ACM Location(s)	Sample #	Condition	Estimated Quantity			
#		ACIVI LOCATION(S)	<b>Asbestos Content</b>	Condition	Estimated Quantity			
2	12"x12" VFT – two different colors - dark brown with light brown flecks in NW/NE Hall & green with gray flecks in SW/SE Hall Black mastic under both colors of 12"x12" VFT Concrete	1st floor Northwest & Northeast Hallway and Southwest & Southeast Hall floor	2A, 2B, 2C 5-15% Chrysotile	(D)(NF)	1,000 SF The ACM black mastic is adhered to the underside of the 12"x12" VFT, therefore, the VFT is contaminated with ACM black mastic and must be removed and disposed as ACM.			

HM = Homogenous Material; F = Friable 10; NF = Non-Friable; I = Intact; D = Damaged (i.e. <10% of the material is damaged); SD = Significantly Damaged (i.e. >10% of the material is damaged); EA = Each; LF = Linear Feet; SF = Square Feet

### Representative Site photographs.



1st floor Northwest Hall (also in Northeast Hall) – 12"x12"1st floor Southwest Hall (also in Southeast Hall) – 12"x12" VFT (Dark brown with light brown flecks) with adhered ACM black mastic beneath on concrete



VFT (green with gray flecks) with adhered ACM black mastic beneath on concrete

<sup>&</sup>lt;sup>10</sup> Friable = Material that, when dry, can be crumbled, shattered, pulverized or reduced to powder by hand pressure

Report – Limited Asbestos Inspection & Sampling
Site: Davey Lopes Recreation Center, 227 Dudley St., Providence, RI 02907

PO #574788-0-PO - RI Analytical Project #2022211 December 13, 2022 – Page 4

#### **CONCLUSIONS AND RECOMMENDATIONS**

Based on visual observations, sample collection from materials designated by Client, and laboratory analysis, ACM were identified at the Site as identified in **Table 2** above.

- ACM = 12"x12" VFT (two different colors dark brown with light brown flecks in NW/NE Hall & green with gray flecks in SW/SE Hall) with adhered ACM black mastic beneath on concrete.
- No asbestos was detected in the glue under carpet on concrete in the Northeast entry vestibule.

The Site is a regulated facility under EPA NESHAP and RIDOH Rules and Regulations for Asbestos Control. Therefore, prior to any work that may disturb the VFT and black mastic, and prior to commencement of asbestos abatement activities, an Asbestos Abatement Plan must be prepared by a licensed Asbestos Project Designer, such as RI Analytical, signed by the Owner's representative and submitted to RIDOH for review. The OSHA asbestos in construction standard (29CFR1926.1101) also applies to this project.

All asbestos abatement work (repair, removal, enclosure or encapsulation) work must be performed by an EPA-accredited and RIDOH-licensed Asbestos Abatement Contractor in accordance with all federal, state, and local rules and regulations, including those of OSHA, as required. Storage, transportation, and disposal of ACM must also comply with EPA, OSHA, and RIDOH regulations.

In addition, project monitoring with in-process air sampling during abatement work, and post-abatement visual inspection and clearance air sampling must be performed by a licensed asbestos consultant who is independent of the asbestos abatement contractor, such as RI Analytical.

If Suspect Materials should be encountered during renovation activities that are not identified in this report as not containing asbestos, they should be assumed to be ACM until sample collection and laboratory analyses indicate otherwise.

Report – Limited Asbestos Inspection & Sampling
Site: Davey Lopes Recreation Center, 227 Dudley St., Providence, RI 02907

PO #574788-0-PO - RI Analytical Project #2022211 December 13, 2022 – Page 5

#### **LIMITATIONS**

RI Analytical does not consider this to be a complete and comprehensive asbestos inspection, but rather a directed inspection and sampling of selected building materials designated by Client. No attempt was made to inspect and sample all building materials suspected of containing asbestos. Other materials in the building may contain asbestos and must be sampled for laboratory analysis prior to being impacted in any way. This was clearly indicated to Client.

All observations documented in this report were made under the conditions existing at the time of this investigation. Should changes from existing conditions occur in the future, warranting chemical analysis, they should be brought to the attention of RI Analytical.

This report was prepared at the request of Client. We further confirm that Client, its affiliates and subsidiaries, and their successors, assigns and grantees may rely on the report within the limitations and recommendations contained therein, as if it were prepared for the benefit of and addressed to them.

This report should not be represented, reproduced, or disseminated without the written approval of RI Analytical or Client. No warranties other than those expressed in the contract for this project are expressed or implied.

Please do not hesitate to contact our EAM Division at 401-737-8500 if you have any questions concerning this report or if we may be of further assistance.

Sincerely,

RI Analytical Laboratories, Inc.

**Exposure Assessment and Management Division** 

Danny Mullen

**Environmental Science Consultant** 

Project Manager, EAM Division

RIDOH #AI00963

Kenneth Davis

Senior Environmental Scientist

Manager, EAM Division

**RIDOH #AI00510** 

 $Doc: rpt-asb-dept. of public property-davey lopes rec. center-227 dudleyst, prov, ri02907\_drm\_kd$ 

Attachments: Laboratory Analytical Report and Chain-of-Custody Form

Report – Limited Asbestos Inspection & Sampling PO #574788-0-PO - RI Analytical Project #2022211 Site: Davey Lopes Recreation Center, 227 Dudley St., Providence, RI 02907 December 13, 2022

**Laboratory Analytical Report and Chain-of-Custody Form** 



### LABORATORY REPORT

R.I. Analytical Laboratories Attn: E.A.M. Division 15 Lark Industrial Parkway Smithfield, RI 02828 Date Received: 12/6/2022 Date Reported: 12/7/2022 Work Order #: 2212-20535

Site Location: PROJECT # 2022211 DEPT. OF PUBLIC PROPERTY 227 DUDLEY ST

Enclosed please find your sample(s) analysis results for asbestos content. The six asbestos types include amosite, chrysotile, crocidolite, anthophyllite, tremolite, and actinolite.

Analysis by Polarized Light Microscopy (PLM) was performed in accordance with EPA 40 CFR Appendix E to Subpart E of Part 763 and/or EPA 600/R-93/116.

R.I. Analytical Laboratories, Inc. maintains bulk asbestos fiber NVLAP accreditation under Lab Code 101440-0. This report does not serve as a product certification, approval, and/or endorsement by NVLAP, NIST, or any federal agency.

The sample(s) submitted for analysis were accepted by R.I. Analytical unless otherwise noted in the report. If a sample is found to be inhomogeneous, individual components will be analyzed separately. If individual components cannot be separated, the sample will be homogenized and a single result will be provided. These results only pertain to the samples submitted for this Work Order # and this report shall not be reproduced except in its entirety.

In accordance with EPA guidelines, vermiculite materials should be assumed to contain asbestos even if PLM analysis reports asbestos not detected. All NOB (Non-Friable Organically Bound) materials such as vinyl floor tile, vinyl sheet flooring, glues, and mastics, that test as <1% asbestos, trace asbestos and no asbestos detected, should be further analyzed by TEM (Transmission Electron Microscopy).

Samples submitted for analysis will be retained for three months for future reference.

We certify that the following results are true and accurate to the best of our knowledge. If you have questionsor need further assistance, please contact our Customer Service Department.

Approved by:

Asbestos Signatory

## R.I. Analytical Laboratories, Inc. LABORATORY REPORT

R.I. Analytical Laboratories Date Received: 12/6/2022 Work Order #: 2212-20535

Site Location:PROJECT # 2022211 DEPT. OF PUBLIC PROPERTY 227 DUDLEY ST

### METHOD: EPA 600/R-93/116

SAMPLI	E SAMPLE		SAMPLE		DATE	
NO.	DESCRIPTION	PARAMETER	RESULTS / U	NITS	ANALYZED	ANALYST
001	1A 12"x12" VINYL FLOOR TILE (DARK BROWN WITH	PLM Fiber Analysis				_
	LIGHT BROWN	Asbestos	Not Detected		12/7/2022	KMG
		Non-fibrous	100	%	12/7/2022	KMG
		Sample Color	Brown		12/7/2022	KMG
002	1B 12"x12" VINYL FLOOR TILE (DARK BROWN WITH LIGHT BROWN	PLM Fiber Analysis				
	LIGHT BROWN	Asbestos	Not Detected		12/7/2022	KMG
		Non-fibrous	100	%	12/7/2022	KMG
		Sample Color	Brown		12/7/2022	KMG
003	2A BLACK MASTIC ON CONCRETE	PLM Fiber Analysis				
		Asbestos	Detected		12/7/2022	KMG
		Chrysotile	5-15	%	12/7/2022	KMG
		Non-fibrous	85-95	%	12/7/2022	KMG
		Sample Color	Black		12/7/2022	KMG
004	2B BLACK MASTIC TO 1B ON CONCRETE	PLM Fiber Analysis				
		Asbestos	Detected		12/7/2022	KMG
		Chrysotile	5-15	%	12/7/2022	KMG
		Non-fibrous	85-95	%	12/7/2022	KMG
		Sample Color	Black		12/7/2022	KMG
005	2C BLACK MASTIC TO 3A ON CONCRETE	PLM Fiber Analysis				
		Asbestos	Detected		12/7/2022	KMG
		Chrysotile	5-15	%	12/7/2022	KMG
		Non-fibrous	85-95	%	12/7/2022	KMG
		Sample Color	Black		12/7/2022	KMG
006	3A 12"x12" VINYL FLOOR TILE (GREEN WITH GRAY	PLM Fiber Analysis				
	FLECKS) WITH	Asbestos	Not Detected		12/7/2022	KMG
		Non-fibrous	100	%	12/7/2022	KMG
		Sample Color	Green		12/7/2022	KMG

## R.I. Analytical Laboratories, Inc. LABORATORY REPORT

R.I. Analytical Laboratories Date Received: 12/6/2022 Work Order #: 2212-20535

Site Location:PROJECT # 2022211 DEPT. OF PUBLIC PROPERTY 227 DUDLEY ST

### METHOD: EPA 600/R-93/116

SAMPLI	E SAMPLE		SAMPLE	DATE	
NO.	DESCRIPTION	PARAMETER	RESULTS / UNIT	S ANALYZED	ANALYST
007	3B 12"x12" VINYL FLOOR TILE (GREEN WITH GRAY	PLM Fiber Analysis			
	FLECKS) WITH	Asbestos	Not Detected	12/7/2022	KMG
		Non-fibrous	100 %	12/7/2022	KMG
		Sample Color	Green	12/7/2022	KMG
008	4A 6" BLACK COVE BASE WITH YELLOW GLUE ON WOOD BASEBOARD	PLM Fiber Analysis			
	DASEBUARD	Asbestos	Not Detected	12/7/2022	KMG
		Non-fibrous	100 %	12/7/2022	KMG
		Sample Color	Black	12/7/2022	KMG
009	4B 6" BLACK COVE BASE WITH YELLOW GLUE ON WOOD	PLM Fiber Analysis			
	BASEBOARD	Asbestos	Not Detected	12/7/2022	KMG
		Non-fibrous	100 %	12/7/2022	KMG
		Sample Color	Black	12/7/2022	KMG
010	5A YELLOW GLUE TO 6A	PLM Fiber Analysis			
		Asbestos	Not Detected	12/7/2022	KMG
		Non-fibrous	100 %	12/7/2022	KMG
		Sample Color	Yellow	12/7/2022	KMG
011	5B YELLOW GLUE TO 6B	PLM Fiber Analysis			
		Asbestos	Not Detected	12/7/2022	KMG
		Non-fibrous	100 %	12/7/2022	KMG
		Sample Color	Yellow	12/7/2022	KMG
012	6A YELLOW CARPET GLUE ON CONCRETE	PLM Fiber Analysis			
		Asbestos	Not Detected	12/7/2022	KMG
		Non-fibrous	100 %	12/7/2022	KMG
		Sample Color	Yellow	12/7/2022	KMG

### R.I. Analytical Laboratories, Inc.

### LABORATORY REPORT

R.I. Analytical Laboratories Date Received: 12/6/2022 Work Order #: 2212-20535

Site Location:PROJECT # 2022211 DEPT. OF PUBLIC PROPERTY 227 DUDLEY ST

METHOD: EPA 600/R-93/116

SAMPLI	E SAMPLE		SAMPLE	DATE	DATE	
NO.	DESCRIPTION	PARAMETER	RESULTS / UNIT	S ANALYZED	ANALYST	
013	6B YELLOW CARPET GLUE ON CONCRETE	PLM Fiber Analysis			_	
		Asbestos	Not Detected	12/7/2022	KMG	
		Non-fibrous	100 %	12/7/2022	KMG	
		Sample Color	Yellow	12/7/2022	KMG	

PROJECT # 2022211 CLIENT PO# 574788-0-PO DEPARTMENT OF PUBLIC PROPERTY 227 DUDLEY ST PROVIDENCE, RI 02907 DAVEY LOPES RECREATION CENTER



# SAMPLE DATA SHEET & CHAIN OF CUSTODY

Project: Department of Public Property.			Client PO #:		574788-0-PO		
Address: 227 Dudley St., Providence, RI 02907			RI Analytical EAM Project #:		2022211		
Area: Davey Lopes Recreation Center			RI Analytical Work Order #:		12-20535		
Sampled By:	Danny Mullen	License #	AI00963	Inspection date:	12/6/22		Page 1 of 1

Line	Sample #	Description	Location	Notes	
1	1A	12"x12" vinyl floor tile (Dark brown with light brown flecks) on concrete	Northeast hall floor		
2	1B	12"x12" vinyl floor tile (Dark brown with light brown flecks) with black mastic on concrete	Northwest hall floor		
3	2A	Black mastic on concrete	Northeast hall floor		
4	2B	Black mastic to 1B on concrete	Northwest hall floor		
5	2C	Black mastic to 3A on concrete	Southeast hall floor		
6	3A	12x12 vinyl floor tile (Green with gray flecks) with black mastic on concrete	Southeast hall floor		
7	3B	12x12 vinyl floor tile (Green with gray flecks) on concrete	Southwest hall floor		
8	4A	6" black cove base with yellow glue on wood baseboard molding	Northwest hall wall		
9	4B	6" black cove base with yellow glue on wood baseboard molding	Southwest hall wall		
10	5A	Yellow glue to <del>CA</del> #4A - <i>DRM</i>	Northwest hall wall		
11	5B	Yellow glue to <del>68</del> #4B - <i>DRM</i>	Southwest hall wall		
12	6A	Yellow carpet glue on concrete	Northeast vestibule floor	Possible black mastic under yellow glue.	
13	6B	Yellow carpet glue on concrete	Northeast vestibule floor		
14					
15					
16					
17					
18					
19					
20					

COMMENTS: Email report to: Name: Danny Mullen; Email to: dmullen & jjencks & kdavis @rianalytical.com						
A. (1) Analysis = ⊠ PLM, Asbestos (EPA 600/R-93/116); ⊠ PLM NOB as needed; □ 400 point count if friable and <10% ASB; □ TEM NOB						
(2) TAT = <u>5 Day;</u> (3) No. samples submitted = <u>13</u> ; (4) □ Y or ⊠ N - Positive stop by Homogeneous # shown.						
Notes:						
RELINQUISHED BY:	DATE/TIME	RECEIVED BY:	DATE/TIME			
(SIGNATURE) Lanny & Mulle	12/6/22 16:10	(SIGNATURE)	12/124			
RELINQUISHED BY:	DATE/TIME	RECEIVED BY:	DATE/TIME			
(SIGNATURE)		(SIGNATURE)				
ASB BULK COC 12.6.22 DRM						

### **ATTACHMENT #3**

### **Interim Operations & Maintenance Plan**

The contractors, maintenance personnel and staff associated with Davey Lopes Recreation Center are aware of ACM in the above stated areas. They have been instructed not to disturb the material due to the potential health hazards if fibers become airborne.

### 1. Notification

All building occupants, also any contractors entering the building and/or premises to perform work, shall be notified of the presence and location of asbestos-containing material(s) and cautioned regarding disturbance of the material(s). Also, the building occupants must be notified regarding the occurrence of asbestos abatement activities. If an emergency fiber release occurs, the following procedures shall be initiated.

### 2. Fiber Release Episodes

### A. Minor Release Episode

If a minor fiber release episode occurs (release of less than 10 linear feet or 25 square feet of material), trained maintenance staff may perform the cleaning. Access to the area shall be restricted during clean-up. All debris shall be thoroughly wetted using amended water and placed in labeled, double six-mil polyethylene bags. The area shall then be cleaned using HEPA filtered vacuums and/or wet cleaning methods. Damaged material must be cleaned and repaired with non-asbestos-containing material. The area shall then be evaluated to decide if further action is necessary.

### B. Major Release Episode

If a major fiber release episode occurs (falling or dislodging of more than 10 linear feet or 25 square feet of ACBM), the cleaning must be carried out and directed by persons accredited to conduct and design response actions. After such an episode, the area shall be immediately restricted and entry to the area prevented. Warning signs shall be posted to caution people other than those qualified to deal with the problem. Air handling units in the area shall be shut down to prevent the spread of fibers beyond the problem area. A response action shall be designed and carried out by qualified personnel.

### 3. Training

Any employee who, because of their work, may disturb asbestos- containing material shall be trained and certified as a Competent Person as described by the R.I. Rules and Regulations for Asbestos Control. The program coordinator shall ensure that the procedures described above to protect the building occupants shall be followed for any operations and maintenance activities disturbing or involving ACBM.

### **ATTACHMENT #4**

### **Scope of Work / Description of Waivers**

It is the contractor's responsibility to verify material locations and quantities.

All proper OSHA, federal, state, and local safety regulations shall be followed.

**Area 1:** Asbestos mastic under 12 inch floor tile located in the hallways and various rooms will be abated utilizing 1.14.2 and 1.14.3 removal procedures.

